



Seneca Web-based Systems: Compliance Assistance

Complaint/ Suggestion Management	Materials & Supplier Management			Product Management			Product Recalls/ Withdrawal Mgmt	Incident/ Crisis Mgmt
	Incoming Materials QC	Supplier Approvals	Supplier/ Contract Compliance	Product Approvals	Spec Mgmt	Product Monitoring		
X	X	X	X	X	X	X	X	X
							X	
	X		X	X		X		
X	X	X	X		X	X		
X								
X	X		X			X	X	X
X	X		X			X	X	X
X	X	X	X	X	X	X	X	X
							X	X
					X			
	X					X	X	
X	X		X					
X	X	X	X	X	X	X	X	X
		X	X					
	X	X	X	X	X	X		
X	X	X	X	X	X	X	X	X
X			X					X
X	X		X			X	X	X
X			X					

COMPLIANCE REQUIREMENTS

FDA - FSMA (including Food Safety Plans):

- Record keeping
- Recall plans
- Verification/ testing
- Preventive controls - suppliers
- Handling complaints
- Corrective actions
- Detection/ response to problems

GFSI Compliant Audits

(including Food Safety Management Systems):

- Documentation controls
- Supplier/raw mat'l approval/perf monitor
- Specifications
- Control of non-conforming products
- Complaint handling
- Mgmt incidents (e.g., withdrawals/recalls)

Distributor/ Customer Compliance

Requirements (including private labeling):

- Quality management system
- Crisis management
- Audits
- Supplier verification
- Customer complaint management
- Procurement controls
- Record keeping

HR Requirements

State & Local Regulatory Requirements

Sarbanes-Oxley Requirements